

**Supplemental Application Data Sheet****Application Information**

Application number::	<u>09/896,831</u>
Filing Date::	<u>06/28/01</u>
Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	<u>3628</u>
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	METHOD AND APPARATUS FOR OFFERING, PRICING, AND SELLING SECURITIES OVER A NETWORK
Attorney Docket Number::	476172000100
Request for Early Publication?::	No
Request for Non-Publication?::	No
Total Drawing Sheets::	27
Small Entity?::	Yes
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

**Applicant Information**

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Calvin
Middle Name::	B.
Family Name::	GRIGSBY
City of Residence::	Blackhawk
State or Province of Residence::	CA
Country of Residence::	US

Street of mailing address:: 2406 Saddleback Drive  
City of mailing address:: Blackhawk  
State or Province of mailing address:: CA  
Postal or Zip Code of mailing address:: 94506

**Correspondence Information**

Correspondence Customer Number:: 20872

**Representative Information**

Representative Customer Number:: 20872

**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	An application claiming the benefit under 35 USC 119(e)	60/214,820	06/28/00

**Foreign Priority Information****Assignee Information**

Grigsby & Associates, Inc.  
311 California Street, Suite 320  
San Francisco, California 94104